

**Sec. 5.7.1. APPLICABILITY.**

Unless otherwise noted herein, this policy applies to the use by Trinity Basin Preparatory of local, state, and Federal funds regardless of the originating source of the funds.

**Sec. 5.7.2. POLICY TO SUPPLEMENT AND NOT SUPERSEDE.**

This policy shall supplement existing state and Federal law, rule and other legal requirement and shall not supersede any state or Federal law, rule or other legal requirement. In the event of a conflict with a law, rule or other legal requirement, the law, rule or other legal requirement shall prevail.

**Sec. 5.7.3. OVERARCHING PRINCIPLE.**

The Superintendent or designee shall expend public funds for the benefit of the students enrolled in Trinity Basin Preparatory. Accordingly, the Superintendent or designee must at all times be able to demonstrate how each use of public funds benefitted Trinity Basin Preparatory students.

**Sec. 5.7.4. GENERAL REQUIREMENT.**

Recognizing that it is impractical to list every conceivable allowable use of funds and that a general framework is beneficial in guiding decisions regarding the use of funds, Trinity Basin Preparatory shall adhere to the following general requirements prior to and when it obligates and disburses funds.

The proposed use of funds:

1. Is reasonable in its nature and amount;
2. Does not exceed that which a prudent person would incur under the circumstances prevailing at the time the decision was made to incur the cost(s);
3. Is ordinary and necessary to achieve the goals and implement the strategies and activities of the charter program;
4. Conforms to the documented and established practices of Trinity Basin Preparatory;
5. Is generally or specifically allowed by applicable state or Federal law, rule or other legal requirement;
6. Is not prohibited by applicable state or Federal law, rule or other legal requirement; and
7. Complies with policy and administrative procedures approved by the Board of Directors (“Board”).

*(a) Use of Unrestricted Local Funds.*

The Superintendent may use or allow the use of local funds for any lawful purpose that conforms to Board policy.

*(b) Use of Restricted Local Funds.*

If a donor restricts a contribution or Trinity Basin Preparatory implies or states a specific purpose for its fund-raising effort(s), the Superintendent may only use or allow the use of local funds for the restricted purpose and in accordance with Board policy.

**Sec. 5.7.5. GENERALLY AUTHORIZED EXPENSES.**

In general, the Superintendent is authorized to expend and may authorize the expenditure of state and Federal funds for the following purposes.

1. To compensate the Superintendent, teachers, janitors and other employees for employment services rendered;
2. To purchase appliances and supplies;
3. To pay for insurance premiums;
4. To purchase real property for use as a school site pursuant to Board authorization;
5. To purchase, build, repair, and rent school buildings pursuant to Board authorization;
6. As authorized by the Board, to acquire school buildings and real property by leasing through annual payments with an ultimate option to purchase; and
7. For other purposes necessary in the conduct of Trinity Basin Preparatory as determined by the Board, including for the following purposes:
  - a. To purchase equipment, furniture, materials, software, supplies and vehicles;
  - b. To pay the interest for short-term loans acquired to compensate Trinity Basin Preparatory employees;
  - c. To develop and monitor a system of controls to ensure compliance with state and Federal laws and rules;
  - d. To administer state and Federal grants;
  - e. To conduct Board meetings and provide Board members with training;
  - f. To procure legal, audit and other professional services necessary for the proper administration and oversight of Trinity Basin Preparatory;
  - g. To record, compile, maintain and report data on student attendance, student enrollment, staff, finances and grants;
  - h. To develop, maintain and update a charter instructional plan including conducting a comprehensive needs assessment;
  - i. To conduct periodic assessments of students to identify potential learning deficiencies and to measure the students' progress towards desired academic goals;

- j. For the upkeep and repair of instructional and instructionally related materials and equipment in the classroom and library, of food service equipment, and of vehicles;
- k. To provide breakfast and lunch and snacks to students pursuant to the guidelines and requirements of the Texas Department of Agriculture;
- l. To conduct field trips as authorized by Board;
- m. For athletic and band equipment, instruments and uniforms;
- n. To conduct graduation and award ceremonies recognizing the achievements of students and staff;
- o. To conduct pre/post-employment physicals or drug testing and background checks;
- p. For the research, development and maintenance of curriculum and innovative, new or modified instruction;
- q. For in-service training or staff development for instructional and instructionally related staff conducted by a regional education service center, an outside consultant(s) or a Trinity Basin Preparatory employee(s);
- r. To travel for Trinity Basin Preparatory activities and functions;
- s. For utilities and the maintenance of telecommunication and computer networks and systems;
- t. To provide a safe and drug free school environment;
- u. For memberships in civic and professional organizations that further the mission of Trinity Basin Preparatory;
- v. For any other purpose, function, activity, program or service authorized by the Board through the adoption of Trinity Basin Preparatory’s annual operating budget and charter instructional plan including any amendments thereto; and
- w. For other purposes determined by the Board of Directors to be in the best interest of Trinity Basin Preparatory’s students.

**Sec. 5.7.6. GENERALLY PROHIBITED EXPENSES.**

In general, the Superintendent is prohibited from expending and may not authorize the expenditure of state and Federal funds for the following purposes.

- 1. Alcoholic beverages.
- 2. Any good or service for personal benefit or use.
- 3. Entertainment including amusement, diversion, recreation and social activities.
- 4. Field trips that are not part of a teacher’s lesson plan or that do not meet the instructional objectives of the charter instructional plan.
- 5. Fines and penalties against individuals.
- 6. Gifts.

**Sec. 5.7.7. AUTHORIZED USES OF FUNDS FOR SPECIAL PROGRAMS.**

In addition to the general requirement and generally authorized expenses enumerated above, the Superintendent may use and may allow the use of the following types of state funds for the purposes authorized in the relevant statutes and as discussed in this section.

*(a) Special Education.*

The Superintendent may use and may allow the use of state funds provided for special education for the following purposes.

1. To evaluate, place and provide educational and/or other services to students pursuant to an individual educational plan (“IEP”) designed to address the unique learning need(s) of a student with a disability as approved by an admission, review and dismissal (“ARD”) committee;
2. To provide special education instructional services, including speech, occupational and physical therapy and resource room;
3. To purchase adaptive technology, equipment, and furniture to facilitate learning for the student(s); and
4. For a purpose included in the charter instructional plan and budget as approved and amended by the Board.

*(b) Bilingual Education.*

The Superintendent may use and may allow the use of state funds provided for bilingual education for the following purposes.

1. To evaluate, place and provide educational and/or other services to students that are intended to make the students proficient in the English language;
2. To provide a bilingual program;
3. To provide English as a second language instruction;
4. To provide instruction in the primary language;
5. To increase cognitive academic language proficiencies;
6. To conduct an evaluation of the program and student performance;
7. For supplemental staff expenses;
8. For salary supplements to teachers;
9. For supplies required for quality instruction and smaller class size; and
10. For a purpose included in the charter instructional plan and budget as approved and amended by the Board.

*(c) Career and Technology Education.*

The Superintendent may use and may allow the use of state funds provided for career and technology education to provide educational and/or other services to prepare students for gainful employment, advanced technical training or for homemaking including employment preparation services, apprenticeship opportunities and job training activities.

*(d) Compensatory Education.*

The Superintendent may use and may allow the use of state funds provided for compensatory education for instructional strategies that address the academic needs of students at risk of dropping out of school as discussed in the charter instructional plan and/or campus improvement plans. However, the Superintendent may only use and may only allow the use of state funds for activities that supplement the regular education program for students at risk of dropping out of school. Accordingly, compensatory education funds may be used for the following purposes.

1. Intensive instructional programs;
2. Concentrated instructional staff resources;
3. Reduction of class size;
4. Teacher assistants;
5. Staff development activities for teachers and teacher assistants to add new competencies specifically designed to address the needs of students at risk of dropping out of school;
6. Extension of the instructional day, week and/or year;
7. Individual and small group tutorials;
8. Specialized computer-assisted instruction;
9. Specialized instructional equipment, materials and supplies;
10. Individualized instruction programs; and
11. For a purpose included in the charter instructional plan and budget as approved and amended by the Board.

*(e) Gifted and Talented Education.*

The Superintendent may use and may allow the use of state funds provided for Gifted and Talented Education for gifted and talented programs and advanced placement courses designated as part of a gifted and talented program.

*(f) Indirect Cost Limitation.*

The Superintendent may use and may only allow the use of state funds provided for special programs for indirect costs up to the maximum allowed by state law and rule.

**Sec. 5.7.8 AUTHORIZED USES OF FUNDS FOR FEDERAL PROGRAMS.**

The Superintendent may use and may allow the use of Federal funds for those purposes authorized by Federal law, rule and grant requirement.

**Sec. 5.7.9. DOCUMENTATION REQUIRED.**

The Superintendent shall prepare or cause to have prepared records for all uses of funds. All recorded uses of funds shall adequately demonstrate compliance with this policy.

**Sec. 5.7.10. ADMINISTRATIVE PROCEDURES.**

The Superintendent shall prepare administrative procedures as required to implement this policy.